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EXPRESS MAIL NO. EL750740627US

JCS21 U.S. PTO  
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05/10/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. YOR920000826US1

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of Inventor(s):

**TUSHAR D. CHANDRA, JAMES T. SHERRY, and CHARLES P. TRESSER**

For: **APPARATUS AND METHODS FOR PROVIDING SECURE ELECTRONIC BROKERS**

Assignee Name: International Business Machines Corporation

Assignee Residence: Armonk, New York

Enclosed are also:

- 27 Pages of Specification including an Abstract  
 8 Pages of Claims (Claims 1 - 56 )  
 7 Sheet(s) of Drawings  
 A Declaration and Power of Attorney  
 Associate Power of Attorney  
 Form PTO 1595 and assignment of the invention to IBM Corporation

**CLAIMS AS FILED**

FOR	Number Filed	Number Extra	Rate	Basic Fee (\$710)
Total Claims	56	-20 =	36 X \$ 18 =	\$648
Independent Claims	4	-3 =	1 X \$ 80 =	\$80
Multiple Dependent Claims	0		X \$270 =	\$0
<b>Total Filing Fee =</b>				<b>\$1,438</b>

- Please charge \$1,438.00 to IBM Corporation, **Deposit Account No. 50-0510**.  
 The Commissioner is hereby authorized to charge payment of the following fees associated with the communication or credit any over payment to IBM Corporation, **Deposit Account No. 50-0510**. A duplicate copy of this sheet is enclosed.  
 Any additional filing fees required under 37 CFR § 1.16.  
 Any patent application processing fees under 37 CFR § 1.17.

Respectfully,

Duke W. Yee  
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## CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" mailing label number EL750740627US. Date of deposit May 10, 2001. I hereby certify that the papers or fees listed below are being deposited with the United States Postal Service by "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Dell Whitton  
Dell Whitton

ENCLOSED are the following documents, being sent by "Express Mail":

1. Transmittal Letter in duplicate;
2. Associate Power of Attorney;
3. Patent Application (27 pgs. spec. & abstract, 8 pgs. Claims 1 - 56 );
4. 7 pages of drawings; and
5. Two return postcards.